

Treasury Group Investment Services

Authorised Representative Financial Services Guide

Dated: 15 October 2008
Treasury Group Investment Services Limited
ABN: 38 099 932 920
Australian Financial Services Licence No: 227326

The commencement date of the Treasury Group Investment Services Limited (TIS) Australian Financial Services Licence (AFSL) was 28 May 2003.

It is the responsible entity and product issuer for the managed investment scheme Third Link Growth Fund ARSN 130 165 552 (the Fund).

This Financial Services Guide (FSG) is an important document that is required to be given to you under the requirements of TIS's AFSL.

It provides you with information about the authorised representative of TIS who is able to provide the financial services in respect of the Fund, as outlined below and to help you decide whether to acquire the financial product TIS offers, being units in the Fund. The authorised representative has been authorised by TIS and TIS is responsible for the financial services the authorised representative provides.

TIS is the authorised licensee and has authorised the distribution of this FSG.

The matters covered by this FSG include:

- details of the authorising licensee and how it can be contacted;
- what services and types of products the authorised representative is authorised to provide to you;
- how TIS and the authorised representative (and any other relevant parties) are remunerated;
- any relationship that the authorised representative has with TIS as authorising licensee;
- details of TIS's internal and external dispute resolution procedures and how you can access them;
- compensation arrangements that TIS has in place;
- TIS's handling of personal information and access to its privacy policy; and
- how to provide instructions to the authorised representative.

General advice warning

The authorised representative can give you general product information to help you decide but cannot provide you with personal advice on whether the product is specifically appropriate for your personal needs, objectives or financial situation. Where the financial product is recommended to you, detailed information about that product will be contained in the Product Disclosure Statement for the Fund, dated 15 October 2008 (PDS). The PDS must be provided to you before you take any action in relation to the financial product recommended and contains information on the relevant risks, benefits and significant characteristics of the product. The PDS is designed to assist you in making an informed decision about whether to buy the product or not.

Details about the Authorised Representative

Name: Christopher Cuffe

Authorised Representative Number: 323212
Address: Suite 1 Level 6, 6-10 O'Connell St Sydney NSW 2000
Telephone: (02) 8004 6712
Fax: (02) 8004 6701
Email: ccuffe@socialventures.com.au

Christopher Cuffe has over 20 years of experience in building successful wealth management businesses at Colonial First State Investments and Challenger Financial Services Group. He is currently an Executive Director at Social Ventures Australia (SVA).

Name: Michael Traill

Authorised Representative Number: 324225
Address: Suite 1 Level 6, 6-10 O'Connell St Sydney NSW 2000
Telephone: (02) 8004 6711
Fax: (02) 8004 6701
Email: mtraill@socialventures.com.au

Michael Traill has 15 years of experience as a co-founder and Executive Director of Macquarie Group's private equity business Macquarie Direct Investment. He is currently the Chief Executive of Social Ventures Australia (SVA) which he founded in 2002.

Name: Ross Pulido

Authorised Representative Number: 324224
Address: Suite 1 Level 6, 6-10 O'Connell St Sydney NSW 2000

Telephone: (02) 8004 6728
Fax: (02) 8004 6701
Email: rpulido@socialventures.com.au

Ross Pulido has eight years of experience in the finance industry encompassing trust administration, information technology and client relationship at Perpetual Limited and Pentafin Software. He is currently Manager, Investment Funds Support at Social Ventures Australia (SVA).

Details of the Authorising Licensee

Name: Treasury Group Investment Services Limited

ABN: 38 099 932 920

AFS Licence Number: 227326

Address: GPO Box 2384 Sydney NSW 2001

Phone: (02) 8243 0400

The financial services

The authorised representative is authorised to offer the financial service of providing general financial product advice, in relation to interests in managed investment schemes, excluding investor directed portfolio services. Such financial product advice is limited to the Fund only.

Remuneration

Christopher Cuffe is a director of Third Link Investment Managers Pty Limited ABN 31 128 965 702 (Third Link), the investment manager of the Fund. Christopher Cuffe and his associates, with the exception of Third Link, do not earn any fees or salary from their involvement with the Fund. Christopher Cuffe is an Executive Director and salaried employee of SVA (who provides pro-bono services to Third Link) as are Mr Traill and Mr Pulido.

Third Link is entitled to ongoing management fees of 1.4 per cent per annum of the gross asset value of the Fund, from which normal operating expenses will be met. It is intended that all fees received by Third Link from managing the Fund's investments, net of expenses incurred, will be donated to SVA.

TIS and its associates do not receive any fees from their involvement with the Fund. Its employees and directors who may supply services in relation to the Fund are salaried employees of TIS.

You may receive advice in relation to the product from financial advisers that do not work for TIS or Third Link, for example licensed stockbrokers and financial planning groups. These advisers will not receive fees and commissions from TIS or Third Link.

Relationships

The authorised representative has no prior relationships with TIS or TIS's related body corporates.

Complaints handling

If you have a complaint about the service provided to you, please write to TIS and inform it of your name, contact details and your concern. TIS will then undertake to resolve the matter quickly, fairly and in the strictest of confidence, in accordance with our complaints-handling process.

Complaints should be addressed to the Complaints Officer, c/-Treasury Group Investment Services Limited at the address of the authorising licensee noted above.

If you are not satisfied with our response to your complaint, you have the right to refer the complaint to Financial Ombudsman Service Limited (FOS) which is an independent disputes resolution scheme of which we are a member.

FOS can be contacted by phone on 1300 780 808 or email info@fos.org.au to lodge a complaint or obtain further information about your rights.

Compensation arrangements

TIS has adequate compensation arrangements in place to compensate retail clients for loss or damage suffered as a result of the breach of relevant obligations of TIS and the above named authorised representative. TIS complies with the Corporations Act requirements for such compensation.

Personal information

At TIS the privacy of your personal information is important to us. Any personal information collected will be handled in accordance with our privacy policy. Our privacy policy details how we comply with the requirements of the Privacy Act in the handling of your personal information. A copy of our privacy policies or those of Third Link are available on the Third Link website www.thirdlink.com.au or by contacting Third Link directly.

Providing instructions to the Authorised Representative

You can give the authorised representative instructions by telephone, mail, email, fax or via Third Link's website. In relation to instructions for applications, please refer to the PDS.