



### Third Link Growth Fund

Manager: Third Link Investment Managers Pty Ltd ACN 128 965 702 | AFSL 321611

Trustee: Evolution Trustees Limited ABN 29 611 839 519 | AFSL 486217

## Additional Application Form

### APPLICATION OPTIONS:

#### OPTION A: APPLY ONLINE AND PAY ELECTRONICALLY (RECOMMENDED)

**Make a Top Up Application through the Investor Portal:** <https://investor.automic.com.au/#/home>

- ✓ **Pay electronically:** Applying online allows you to pay electronically at the time of making your application.
- ✓ **Applying online is simple:** Applying online is very easy to do, it eliminates any postal delays and removes the risk of your Application being potentially lost in transit.
- ✓ **Receive immediate confirmation:** Applying online provides you with greater privacy over your instructions and is the only method which provides you with immediate confirmation that your application has been successfully processed.

#### OPTION B: STANDARD PAPER-BASED APPLICATION AND PAYMENT

Please complete this form in accordance with the instructions below.

##### 1. READ THE PRODUCT DISCLOSURE STATEMENT

Please read and ensure you understand the PDS and any product guide and Important Information. The law prohibits any person passing this Application Form on to another person unless it is accompanied by a complete PDS. We will provide a paper or electronic copy of the current PDS and its incorporated documents on request and without charge.

##### 2. COMPLETE THIS APPLICATION FORM

Please write in BLOCK letters, using a black pen. If you make an error while completing this form, do not use correction fluid. Cross out your mistakes and initial your changes.

##### 3. SEND YOUR DOCUMENTS

Return your Application Form to:

###### By Email:

Third Link Growth Fund  
[thirdlink@automic.com.au](mailto:thirdlink@automic.com.au)

###### By Post:

Third Link Growth Fund  
GPO Box 5193  
Sydney NSW 2000

OR... Save time and apply  
online:  
**See details above**

##### 4. MAKE YOUR PAYMENT

When your application is processed by our unit registry you will be sent an automated email confirmation which will provide you with your personalised payment instructions to make your payment.

**IMPORTANT:** You must ensure that you use the unique payment ID that is provided in this email confirmation otherwise we may not be able to identify your funds and your application will be rejected.



Email: [thirdlink@automic.com.au](mailto:thirdlink@automic.com.au)

Phone (within Australia): 1300 288 664

Phone (outside Australia): +61 (0)2 9698 5414

## 1. INVESTOR DETAILS

Security Holder Reference Number (SRN) – 12 digits including the leading letter 'I'

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Entity Name: \_\_\_\_\_

If there have been no changes to the information previously provided, could you please tick the below boxes:

- ☐ I/We confirm there are no changes to our identification documents previously provided and that these remain current and valid
- ☐ I/We confirm there are no changes to our FATCA or CRS status

If there have been changes in the FATCA/CRS status since your last application, please update this status in the [Automic Investor Portal](#) or please contact Automic.

If there have been changes in your identification documents, please contact Automic either on 1300288664 or [thirdlink@automic.com.au](mailto:thirdlink@automic.com.au).

## 2. APPLICATION DETAILS

Additional Investment Amount:

Fund Name	Dollar amount to be invested											
Third Link Growth Fund	\$AUD <table border="1"><tr><td></td><td></td><td></td></tr></table> , <table border="1"><tr><td></td><td></td><td></td></tr></table> , <table border="1"><tr><td></td><td></td><td></td></tr></table> . <table border="1"><tr><td></td><td></td></tr></table>											

\* The minimum additional investment amount outlined in the Fund's Product Disclosure Statement or as agreed with the Responsible Entity

### 3. DECLARATIONS, ACKNOWLEDGEMENTS AND SIGNATURES

#### 3.1 DECLARATIONS AND ACKNOWLEDGEMENTS: When you apply to invest, you (the applicant(s)) confirm:

- I/we have read and understood the PDS to which this Application Form applies, including any supplemental information and the Target Market Determination;
- I/we have received and accepted the offer to invest in Australia;
- The information provided in my/our Application Form is true, correct and complete in all respects;
- I/we agree to be bound by the provisions of the Constitution governing the Fund and the terms and conditions of the PDS, each as amended from time to time;
- I/we acknowledge that none of the Issuer, their related entities, directors or officers have guaranteed or made any representation as to the performance or success of the Fund, or the repayment of capital from the Fund. Investments in the Fund are subject to various risks, including delays in repayment and loss of income or principal invested. Investments in the Fund are not deposits with or other liabilities of the Issuer or any of its related bodies corporate or associates;
- I/we acknowledge the Issuer reserves the right to reject any application or scale back an application in its absolute discretion;
- If applicable, after assessing my/our circumstances, I/we have obtained my/our own independent financial advice prior to investing in the Fund;
- If this Application Form is signed under Power of Attorney, each Attorney declares he/she has not received notice of revocation of that power (a certified copy of the Power of Attorney should be submitted with this Application Form);
- I am/we are over 18 years of age and I am/we are eligible to hold units/investment in the Fund;
- I/we have all requisite power and authority to execute and perform the obligations under the PDS and this Application Form;
- I/we acknowledge that all application monies paid to the Fund's registrar in connection with my/our application for Fund Interests will be held in a trust account until invested in the Fund or returned to me/us. I/we further acknowledge that any interest or other earnings generated on such application monies will be retained by the Fund's registrar and will not be paid to applicants, regardless of whether those monies are ultimately returned.
- I/we have read the information on privacy and personal information contained in the PDS and consent to my/our personal information being used and disclosed as set out in the PDS;
- I/we acknowledge that the Issuer may deliver and make reports, statements and other communications available in electronic form, such as e-mail or by posting on a website;
- I/we indemnify the Issuer and each of its related bodies corporate, directors and other officers, shareholders, servants, employees, agents and permitted delegates (together, the Indemnified Parties) and to hold each of them harmless from and against any loss, damage, liability, cost or expense, including reasonable legal fees (collectively, a Loss) due to or arising out of a breach of representation, warranty, covenant or agreement by me/us contained in any document provided by me/us to the Issuer, its agents or other parties in connection with my/our investment in the Fund. The indemnification obligations provided herein survive the execution and delivery of this Application Form, any investigation at any time made by the Issuer and the issue and/or sale of the investment;
- To the extent permitted by law, I/we release each of the Indemnified Parties from all claims, actions, suits or demands whatsoever and howsoever arising that I/we may have against any Indemnified Party in connection with the PDS or my/our investment;
- Other than as disclosed in this Application Form, no person or entity controlling, owning or otherwise holding an interest in me/ us is a United States citizen or resident of the United States or any other country for taxation purposes;
- I/we will promptly notify the Issuer of any change to the information I/we have previously provided to the Issuer, including any changes which result in a person or entity controlling, owning or otherwise holding an interest in me/us;
- I/we consent to the Issuer disclosing any information it has in compliance with its obligations under the US Foreign Tax Compliance Act (FATCA) and the OECD Common Reporting Standards for Automatic Exchange of Financial Account Information (CRS) and any related Australian law and guidance implementing the same. This may include disclosing information to the Australian Taxation Office, who may in turn report that information to the relevant tax authorities as required;
- I/we acknowledge that the collection of my/our personal information may be required by the Financial Transaction Reports Act 1988, the Corporations Act 2001, the Income Tax Assessment Act 1936, the Income Tax Assessment Act 1997, the Taxation Administration Act 1953, the FATCA and CRS (includes any related Australian law and guidance) and the Anti-Money Laundering and Counter-Terrorism Financing Act 2006. Otherwise, the collection of information is not required by law, but I/we acknowledge that if I/we do not provide personal information, the Issuer may not allow me/us to invest in the Fund;

- I am/we are not aware and have no reason to suspect that the monies used to fund my/our investment in the Fund have been or will be derived from or related to any money laundering, terrorism financing or similar or other activities illegal under applicable laws or regulations or otherwise prohibited under any international convention or agreement (AML/CTF Law);
- I/we will provide the Issuer with all additional information and assistance that the Issuer may request in order for the Issuer to comply with the AML/CTF Law, FATCA and CRS;
- I/we acknowledge that the Issuer may decide to delay or refuse any request or transaction, including by suspending the issue or redemption of investment in the Fund, if the Issuer is concerned that the request or transaction may breach any obligation of, or cause the Issuer to commit or participate in an offence (including under the AML/CTF Law, FATCA and CRS)

### 3.3 SIGNING: Applicants must sign in accordance with the instructions below in the boxes provided

#### INSTRUCTIONS – Who needs to sign this form:

Individual	Where the investment is in one name, the sole investor must sign.
Individual Trustee	Where the investment has one individual trustee, the trustee must sign.
Multiple Trustees	Where the investment has more than one individual trustee, all trustees must sign.
Joint Holding	Where the investment is in more than one name, all investors must sign. If more than two signatures are required, please attach an additional page with the full names of each account holder, their signatures, and date.
Companies / Corporate Trustee	Where the company has a sole director who is also the sole company secretary, this form must be signed by that person. If the company (pursuant to section 204A of the Corporations Act 2001) does not have a company secretary, a sole director can also sign alone. Otherwise, this form must be signed by a director jointly with either another director or a company secretary. Please indicate the capacity in which the form is signed.
Trust	The trustee(s) must sign this form. Trustee(s) signing on behalf of the trust confirm that the trustee(s) is/are acting in accordance with such designated powers and authority under the trust deed.
Power of Attorney	If signing under a Power of Attorney and you have not already lodged the Power of Attorney document, please attach a certified copy of the Power of Attorney annotated with the following: I/We attest that the Power of Attorney has not been rescinded or revoked and that the person who gave the Power of Attorney is still living.

Signature of investor 1, director or authorised signatory

Please print full name

Date

Signature of investor 2, director/company secretary or authorised signatory

Please print full name

Date

Company officer (please indicate company capacity):

- ☐ Director
- ☐ Sole director and company secretary
- ☐ Authorised signatory

Company officer (please indicate company capacity):

- ☐ Director
- ☐ Company secretary
- ☐ Authorised signatory