



Third Link Growth Fund

Manager: Third Link Investment Managers Pty Ltd ACN 128 965 702 | AFSL 321611

Trustee: Evolution Trustees Limited ABN 29 611 839 519 | AFSL 486217

Application Form

APPLICATION OPTIONS:

OPTION A: APPLY ONLINE AND PAY ELECTRONICALLY (RECOMMENDED)

Apply online at: <https://apply.automic.com.au/TLGF>

- ✓ **Pay electronically:** Applying online allows you to pay electronically at the time of making your application.
- ✓ **Get in first, it's fast and simple:** Applying online is very easy to do, it eliminates any postal delays and removes the risk of your Application being potentially lost in transit.
- ✓ **It's secure and confirmed:** Applying online provides you with greater privacy over your instructions and is the only method which provides you with immediate confirmation that your application has been successfully processed.

Please complete this form in accordance with the instructions below.

1. READ THE PRODUCT DISCLOSURE STATEMENT

OPTION B: STANDARD PAPER-BASED APPLICATION AND PAYMENT

Please read and ensure you understand the Product Disclosure Statement ('PDS'), any product guide, important information, and Declaration as part of this Application Form. The law prohibits any person passing this Application Form on to another person unless it is accompanied by a complete PDS. We will provide a paper or electronic copy of the current PDS and its incorporated documents on request and without charge.

2. COMPLETE THIS APPLICATION FORM:

Please write in BLOCK letters, using a black pen. If you make an error while completing this form, do not use correction fluid. Cross out your mistake and initial your changes. The investor(s) must complete and sign the form. Keep a photocopy of the completed Application Form for your records.

3. CERTIFY AND PROVIDE YOUR IDENTIFICATION DOCUMENTS

Please refer to Appendix A 'Identification and verification' and provide the relevant identification documentation as outlined in this Application Form.

4. SEND YOUR DOCUMENTS

Return your Application Form and certified documents to:

By Email:

Third Link Growth Fund
thirdlink@automic.com.au

By Post:

Third Link Growth Fund
GPO Box 5193
Sydney NSW 2000

OR... Save time and apply

online:

See details above

5. MAKE YOUR PAYMENT

To make payment, please return your form with your email address provided in section 2.1. When your application is processed by our unit registry you will be sent an automated email confirmation. This will provide you with your personalised payment instructions to make your payment.



IMPORTANT: You must ensure that you use the unique payment ID that is provided in this email confirmation otherwise we may not be able to identify your funds and your application will be rejected.

Need help with your application, then please contact us on:



Email: thirdlink@automic.com.au

Phone (within Australia): 1300 288 664

Phone (outside Australia): +61 (0)2 9698 5414

1. INVESTMENT DETAILS

1.1 INVESTMENT AMOUNT: I/We apply to invest in the Third Link Growth Fund

Applications must be for a minimum of A\$20,000 or such lesser amount as agreed by the Responsible Entity.

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1.2 EXISTING INVESTOR: Has the applicant invested with Third Link Growth Fund before?

<input type="checkbox"/>	Yes (Please provide your Securityholder Reference Number)	
<input type="checkbox"/>	No	

2. CONTACT DETAILS

2.1 CONTACT DETAILS: Please specify contact details below.

Contact Name

Contact Telephone Number

Primary Email Address:

By providing your email address, you elect to receive all communications electronically by the Responsible Entity (where legally permissible).

Additional Email Addresses (you can nominate up to 5 additional email addresses)

3. PAYMENT INSTRUCTIONS

3.1 REINVESTMENT DISTRIBUTION ELECTION: Please indicate below how you would like to receive fund distributions.

A nomination in this section overrides any previous nominations within the same fund. There may be periods in which no distribution is payable, or we may make interim distributions. We do not guarantee any particular level of distribution:

Reinvest in the Fund

Receive cash distribution.

Please complete section 3.2 below

3.2 PAYMENT INSTRUCTIONS: Please provide your EFT details below for all cash payments

Payments will only be made electronically to Australian bank accounts. We will not make any payments into third party bank accounts.

Investors who do not provide EFT details will have distribution payments defaulted into reinvestment (where eligible).



PLEASE NOTE: Distribution payments will be made by EFT to investors.

BSB

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Account Number

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DO NOT USE YOUR CARD NUMBER

If you are unsure of your BSB or account number, please check with your bank, building society or credit union.

Account Name

4. YOUR CONSUMER ATTRIBUTES

To assist Evolution Trustees Limited ("us" or "we") as responsible entity for Third Link Growth Fund ("Fund") in meeting the Design and Distribution Obligations, you are required to indicate the purpose of your investment by responding to each of the questions set out below. Your responses should reflect your objectives and needs for **this Investment**.

Please tick **only 1 box** for each question below.

Further information in relation to these questions can be found in the Target Market Determination ("TMD") for the Fund. If you wish to access the TMD, please contact Third Link Investment Managers Pty Ltd.

1. What type of Investor are you?

I am a wholesale client as the Corporations Act defines this.
 I am a retail client but I have professional advice about investing in this fund
 Neither of these

2. What is your primary investment objective when investing in this fund (tick one)?

Note: this Fund is designed primarily for investors seeking capital growth and is not designed for investors whose primary objective is capital preservation or regular income.

I am mostly seeking Capital growth I am mostly seeking to preserve my capital I am mostly seeking Regular Income

3. What percentage of your total investable assets are you investing in this fund?

Note: Total investable assets includes your investments such as cash, term deposits, shares, managed funds, superannuation and similar investments.

Standalone Solution so between **75% and 100%** Minor Investment so between **10% and 25%**
 Major Allocation so between **50% and 75%** Satellite Investment so between **0 and 10%**
 Core Investment so between **25% and 50%**

4. For this investment, what risk level are you comfortable with?

Note: The Fund is designed for investors who have a tolerance for high risk and medium to high volatility and who are prepared for significant fluctuations in the value of their investment over time. The value of your investment may fluctuate significantly, and you may experience periods of negative returns. You could lose some or all of the money you invest.

I want to minimise the risk of loss and am only comfortable with small fluctuations in value. High risk at most – I can accept significant fluctuations and a high risk of loss in pursuit of higher long-term returns.
 Medium risk at most – I can accept some fluctuations in value but prefer to limit the risk of loss. I am OK with very high risk – I can accept very large fluctuations and a very high risk of loss in pursuit of maximum return potential.

5. The recommended investment time frame for this fund is 5 years or more. Are you comfortable investing in this fund for at least 5 years?

Yes No

6. Within what period do you expect to be able to access this investment in usual circumstances?

I expect access within a week
 I expect access within a month
 I am OK with access available in a period longer than a month

Please note:

- We or Third Link may need to contact you about your answers before we can process your application. Failure to complete the above questions may result in your application not being accepted. We may refuse applications in any access at our discretion and need not give reasons.
- Acceptance of your application should not be taken as a representation or confirmation that an investment in the Fund is, or is likely to be, consistent with your intentions, objectives and needs as indicated in your responses to these questions.
- For further information on the suitability of this product, please refer to your financial adviser and/or the TMD.

5. SECTIONS TO COMPLETE AND IDENTIFICATION REQUIRED



IMPORTANT: Complete the sections outlined as applicable to your investor type

Please refer to the column 'Identification Documentation Required' for guidance on the documentation you must provide as part of your application.

Investor Type	Description	To Be Completed	Identification Documentation Required
<input data-bbox="171 496 267 586" type="checkbox"/> Individual/Joint Investors/Individual Trustee	A natural person or persons.	Section 6.1 Section 7 Section 8 Section 9 (if applicable) Section 10	Certified copy of a driver's licence that contains a photograph of the licence/ permit holder; or certified copy of a passport that contains a photograph and signature of the passport holder (can be current or recently expired (within the last 2 years).
<input data-bbox="171 872 267 962" type="checkbox"/> Sole Trader	A natural person operating a business under their own name with registered business name.	Section 6.1 Section 7 Section 8 Section 9 (if applicable) Section 10	Certified copy of a driver's licence that contains a photograph of the licence/ permit holder; or certified copy of a passport that contains a photograph and signature of the passport holder (can be current or recently expired (within the last 2 years).
<input data-bbox="171 1180 267 1270" type="checkbox"/> Companies/Corporate Trustee	A company registered as an Australian public company or an Australian proprietary company, or a foreign company.	Section 6.3 Section 7 Section 8 Section 9 (if applicable) Section 10	Certified copy of the certification of registration issued by ASIC or the relevant foreign registration body; or the most recent Company Statement issued by ASIC . Please provide identification documents for those persons that own or control more than 25% of the company.
<input data-bbox="171 1832 267 1922" type="checkbox"/> Trust/Superannuation Fund	Types of trusts include self-managed superannuation funds, registered managed investment schemes, unregistered wholesale managed investment schemes, government superannuation funds or other trusts (such as family trusts and charitable trusts).	Section 6.4 Section 7 Section 8 Section 9 (if applicable) Section 10	<p>Provide the name of the legislation establishing the government superannuation fund sourced from a government website.</p> <p>Ensure the ABN of the superannuation fund is disclosed in the supporting document/s.</p> <p>Provide a certified copy or a certified extract of the Trust Deed containing the cover page, recitals and signature page.</p>

 Partnership	A partnership created under a partnership agreement.	Section 6.2 Section 7 Section 8 Section 9 (if applicable) Section 10	A certified copy or extract of the partnership agreement. If the partnership is a member of a professional association: An original or certified copy of a current membership certificate (or equivalent) of a professional association; or Membership details independently sourced from the relevant professional association (e.g., the professional association's website). All Beneficial Owners named.
 Associations	Incorporated associations are associations registered under State or Territory based incorporated association statutes. Unincorporated associations are those of persons who are not registered under an incorporated associations statute and thus do not have the legal capacity to enter into agreement	Section 6.3 Section 7 Section 8 Section 9 (if applicable) Section 10	The original, a certified copy or a certified extract of the constitution or rules of the association; and (Where the association is incorporated) information provided by ASIC or by the State, Territory or overseas body responsible for the incorporation of the association. Beneficial Owners The documents that are to be collected for INDIVIDUALS. All Beneficial Owners named.

6. INVESTOR DETAILS

6.1 INVESTOR DETAILS: INDIVIDUALS

Please complete if you are investing individually, jointly or you are an individual or joint trustee, or as a sole trader.

INVESTOR 1:

Title First Name(s)

Surname

Date of Birth /

Address: Unit / Street Number / Street Name

Suburb

State

Postcode

Country

INVESTOR 2:

Title First Name(s)

Surname

Date of Birth /

Address: Unit / Street Number / Street Name

Suburb

State

Postcode

Country

Select the primary address for the investment account – only 1 address can be made the primary address. If no selection is made or the selection is invalid, the investor 1 address will be applied.

Investor 1 address above is the primary address

Investor 2 address above is the primary address

TAX DETAILS:

If you are an Australian resident for tax purposes, please provide your tax file number (TFN) or exemption code. If you are an Australian resident and do not provide your TFN, or exemption code, you will be taxed at the highest marginal tax rate plus the Medicare levy.

TFN or Exemption Code (Investor 1)

TFN or Exemption Code (Investor 2)

Non-Australian residents: If you are not an Australia resident for tax purposes, please indicate your country of residence.

Foreign tax domicile (Investor 1)

Foreign tax domicile (Investor 2)

SOLE TRADERS – Additional Information required if a Sole Trader

Full Business Name

Australian Business Number (ABN)

If there are more than two individual investors, please provide the full name, date of birth, and residential address of each on a separate sheet and attach to this form.

IDENTIFICATION DOCUMENTS:

To comply with Australia's Anti-Money Laundering and Counter-Terrorism Financing (AML/CTF) legislation, we must collect certain information from prospective investors and their beneficial owners supported by certified copies of relevant identification documents for all investors and their beneficial owners.

Electronic-based verification (AML link in the 'Application Confirmation' sent to your email once your application has been processed)

Document-based verification (see below)

Please refer to Appendix A at the end of the Application Form for details of how to arrange certified copies. Please provide all documents in the proper format otherwise we may not be able to process your application for investment.

Certified copy of a current driver's licence that contains a photograph of the licence/permit holder; OR

Certified copy of a passport that contains a photograph and signature of the passport holder (can be current or recently expired within the last 2 years)

6.2 INVESTOR DETAILS: PARTNERSHIP

Complete this section if you are investing for, or on behalf of, a partnership.

Full Name of Partnership

Country where partnership is established

ACN/ABN (if registered in Australia)

Name of Regulator

Registered Address

Suburb

State

Postcode

Country

Postal Address (if different from above)

Suburb

State

Postcode

Country

Note: The postal address will be used for all account correspondence; however we also require your registered address.

Is the Partnership regulated by a professional association?

 Yes - Name of Association

Provide membership details

 No - How many partners are in the partnership

Partner 1:

Title

First Name(s)

Surname

Date of Birth

 / /

Address: Unit / Street Number / Street Name

Suburb

State

Postcode

Country

Partner 2:

Title

First Name(s)

Surname

Date of Birth

 / /

Address: Unit / Street Number / Street Name

Suburb

State

Postcode

Country

Partner 3:

Title	First Name(s)
<input type="text"/>	<input type="text"/>

Surname
<input type="text"/>

Date of Birth
/ /

Address: Unit / Street Number / Street Name
<input type="text"/>

Suburb	State
<input type="text"/>	<input type="text"/>

Postcode	Country
<input type="text"/>	<input type="text"/>

Partner 4:

Title	First Name(s)
<input type="text"/>	<input type="text"/>

Surname
<input type="text"/>

Date of Birth
/ /

Address: Unit / Street Number / Street Name
<input type="text"/>

Suburb	State
<input type="text"/>	<input type="text"/>

Postcode	Country
<input type="text"/>	<input type="text"/>

If there are more partners, provide details on a separate sheet and tick this box

If there are more partners, provide details on a separate sheet and confirm the total number of partners in the box

BENEFICIAL OWNERS OF THE PARTNERSHIP

Please provide details of the Beneficial Owner(s) of the partnership who directly or indirectly controls the partnership in Section 8.6.

IDENTIFICATION DOCUMENTS:

To comply with Australia's Anti-Money Laundering and Counter-Terrorism Financing (AML/CTF) legislation, we must collect certain information from prospective investors and their beneficial owners supported by certified copies of relevant identification documents for all investors and their beneficial owners.

Electronic-based verification (AML link in the 'Application Confirmation' sent to your email once your application has been processed)

Document-based verification (see below)

Please refer to Appendix A at the end of the Application Form for details of how to arrange certified copies. Please provide all documents in the proper format otherwise we may not be able to process your application for investment.

GROUP A – Individual/Sole Trader/Joint or Individual trustee

Each individual investor, individual trustee, beneficial owner, or individual agent or authorised representative must provide one of the following primary photographic ID:

- A current Australian driver's licence (or foreign equivalent) that includes a photo and signature.
- An Australian passport (not expired more than 2 years previously).
- A foreign passport or international travel document (must not be expired) that includes a photo and signature.
- An identity card issued by a State or Territory Government that includes a photo and date of birth.

If you do NOT own one of the above ID documents, please provide one valid option from Column A and one valid option from Column B.

Column A	Column B
<ul style="list-style-type: none"> • Australian birth certificate • Australian citizenship certificate. • Pension card issued by Department of Human Services. • Health care card issued by Centrelink. 	<ul style="list-style-type: none"> • A document issued by the Commonwealth or a State or Territory within the preceding 12 months that records the provision of financial benefits to the individual and which contains the individual's name and residential address. • A document issued by the Australian Taxation Office within the preceding 12 months that records a debt payable by the individual to the Commonwealth (or by the Commonwealth to the individual), which contains the individual's name and residential address. Block out the TFN before scanning, copying or storing this document. • A document issued by a local government body or utilities provider within the preceding 3 months which records the provision of services to that address or to that person (the document must contain the individual's name and residential address). • If under the age of 18, a notice that: was issued to the individual by a school principal within the preceding 3 months; and contains the name and residential address; and records the period of time that the individual attended that school.

6.3 INVESTOR DETAILS: COMPANY/CORPORATE TRUSTEE

Complete this section if you are investing as a company or corporate trustee.

Full Company Name

Country of Formation, Incorporation or Registration

ARBN (if registered with ASIC)

ACN/ABN (if registered in Australia)

Tax File Number or Exemption Code (Australian residents)

AFS Licence Number (if applicable)

Name of Regulator (if Licenced by an Australian Commonwealth, State or Territory statutory regulator)

Registered Business Address

Suburb

State

Postcode

Country

Principal Place of Business (not a PO Box address)

Suburb

State

Postcode

Country

If an Australian Company, registration status with ASIC.

Proprietary Company

Public Company

If a Foreign Company, registration status with the relevant foreign registration body

Proprietary Company

Public Company

Other - Please Specify

Name of Relevant Foreign Registration Body

Foreign Company Identification Number

Tax File Number or Exemption Code (Australian residents)

AFS Licence Number (if applicable)

Is the Company Listed?

No

Yes - Name of Market/Stock Exchange

Is the Company a majority-owned subsidiary of an Australian listed company?

No

Yes - Name of Australian Listed Company

- Name of Market/Stock Exchange

DIRECTORS OF THE COMPANY/CORPORATE TRUSTEE

If the company is registered as a proprietary company by ASIC or a private company by a foreign registration body, please list the name of each director of the company.

Director 1 – Full Name

Director 4 – Full Name

Director 2 – Full Name

Director 5 – Full Name

Director 3 – Full Name

Director 6 – Full Name

BENEFICIAL OWNERS OF THE COMPANY/CORPORATE TRUSTEE

Please provide details of the Beneficial Owner of the company who directly or indirectly controls the company in Section 8.6.

IDENTIFICATION DOCUMENTS:

To comply with Australia's Anti-Money Laundering and Counter-Terrorism Financing (AML/CTF) legislation, we must collect certain information from prospective investors and their beneficial owners supported by certified copies of relevant identification documents for all investors and their beneficial owners.

Electronic-based verification (AML link in the 'Application Confirmation' sent to your email once your application has been processed)

Document-based verification (see below)

Please refer to Appendix A at the end of the Application Form for details of how to arrange certified copies. Please provide all documents in the proper format otherwise we may not be able to process your application for investment.

GROUP B – Company/Corporate Trustee

Australian Companies

Provide one of the following:

- A certified copy of the company's Certificate of Registration or incorporation issued by ASIC.
- A copy of information regarding the company's licence or other information held by the relevant Commonwealth, State or Territory regulatory body e.g. AFSL, RSE, ACL, etc.
- A company ASIC extract issued in the previous 3 months or the company's last annual statement showing all the Directors/ Officers and Shareholders of the company.
- If the company is listed on an Australian securities exchange, provide details of the exchange and the ticker (issuer) code.
- If the company is a majority owned subsidiary of a company listed on an Australian securities exchange, provide details of the holding company name, its registration number e.g. ACN, the securities exchange and the ticker (issuer) code.
- The company's last annual statement issued by ASIC.

All of above must clearly show the company's full name, its type (i.e. public or private) and ACN issued to the company.

Foreign Companies

Provide one of the following:

- A certified copy of the company's Certificate of Registration or incorporation issued by ASIC or the equivalent issued by the foreign jurisdiction(s) in which the company was incorporated, established or formed.
- A certified copy of the company's articles of association or constitution and a certified company share structure
- A company extract (ASIC or foreign registration body) issued in the previous 3 months showing all the Directors/Officers and Shareholders of the company.
- A copy of the last annual company statement issued by the regulator.

All of the above must clearly show the company's full name, its type (i.e. public or private) and the ARBN issued by ASIC, or the identification number issued to the company by the foreign regulator.

In addition, please provide verification documents for each beneficial owner or controlling person (senior managing official and shareholder) as listed under Group A.

A beneficial owner of a company is any person entitled (either directly or indirectly) to exercise 25% or more of the voting rights, including a power of veto, or who holds the position of senior managing official (or equivalent) and is thus the controlling person.

Authorised Signatures

- A certified copy of the ASL with the full name, position and signature of each authorised representative
- A certified copy of the authorising document (e.g. Power of Attorney)
- Please tick to confirm the authoring document or Power of Attorney is still valid and has not been revoked.

6.4 INVESTOR DETAILS: TRUST/SUPERANNUATION FUND

Complete this section if you are investing as a Trust/Superannuation Fund.

Full Name of Trust/Superannuation Fund

Tax File Number or Exemption Code

Australian Business Number (if any)

TYPE OF TRUST

(Please tick ONE box from the list below to indicate the type of Trust and provide the required information)

Type A: Regulated Trust (e.g. self-managed superannuation fund)

Name of regulator (e.g. ASIC, APRA, ATO)

Registration/Licensing details

Type B: Government Superannuation Fund

Name of the legislation establishing the fund

Type C: Foreign Superannuation Fund

Name of regulator

Registration/Licensing details

Type D: Other Type of Trust/Unregulated Trust

Trust Description (e.g. family, unit, charitable)

If **Type C or D**, please complete the below Beneficiary details.

Do the terms of the Trust identify the beneficiaries by reference to a membership of a class?

Yes

Describe the class of beneficiaries below (e.g. unit holders, family members of named person, charitable purposes)

No

Provide the full names of each beneficiary in respect of the trust in Section 8.6 (includes beneficial owners who ultimately own 25% or more of the trust)

If a trustee is an individual, please also complete Section 6.1. If a trustee is a company, please also complete Section 6.3.

IDENTIFICATION DOCUMENTS:

To comply with Australia's Anti-Money Laundering and Counter-Terrorism Financing (AML/CTF) legislation, we must collect certain information from prospective investors and their beneficial owners supported by certified copies of relevant identification documents for all investors and their beneficial owners.

Electronic-based verification (AML link in the 'Application Confirmation' sent to your email once your application has been processed)

Document-based verification (see below)

Please refer to Appendix A at the end of the Application Form for details of how to arrange certified copies. Please provide all documents in the proper format otherwise we may not be able to process your application for investment.

Provide the ABN for the superannuation fund

OR

Provide a certified copy or a certified extract of the Trust Deed containing the cover page, recitals and signature page.

GROUP C – Trust/Superannuation Fund

Regulated Trusts

Registered Managed Investment Scheme, Government Superannuation Fund or a trust registered with the Australian Charities and Not-for-Profit Commission (ACNC), or a regulated, complying Superannuation Fund, retirement or pension fund (including a self-managed super fund)

Provide one of the following:

A copy of the company search of the relevant regulator's website e.g. APRA, ASIC or ATO.

- **Superannuation funds** go to www.abn.business.gov.au, select the 'Super Fund Lookup' option and print out the results for your super fund – ensure that the ABN of the Superannuation Fund is provided.
- **Registered managed investment schemes** an ASIC search of the scheme
- **Unregistered managed investment schemes (MIS)** a letter from a lawyer or a qualified accountant confirming that the unregistered MIS only has wholesale clients and does not make small scale offerings to which s1012E of the Corporations Act applies OR the relevant offer document
- **Government superannuation funds** an extract of the establishing legislation.
- **Trust as a charity** a copy from the ACNC of information registered
- Annual report or audited financial statements.
- A certified copy of a notice issued by the ATO within the previous 12 months.
- A certified copy of an extract of the Trust Deed (i.e. cover page and signing page and first two pages that describes the trust, its purpose, appointer details and settlor details etc.)

Unregulated Trust

All other Unregulated trust (including a Foreign trust), provide the following:

- **Trust Deed** – A certified copy of an extract of the Trust Deed (i.e. cover page and signing page and first two pages that describes the trust, its purpose, appointer details and settlor details etc.)
- **Letter of compliance for nominees and sub-custodians** – If you have relied on the ACIP and OCDD performed by a member of your designated Business Group in respect to the underlying investor, please attach a signed AML/CTF compliance letter from the entity that has appointed you
- **Margin loan** – For Margin Lenders or Nominees of the Margin Lender, please provide a certified copy or certified extract of the Loan Agreement with the Borrower

If the trustee is an individual, please also provide verification documents for one trustee as listed under Group A on Page 8.

If the trustee is a company, please also provide verification documents for a company as listed under Group B on Page 15.

7. AML VERIFICATION



IMPORTANT: Failure to complete electronic OR paper based verification will result in your application being rejected.

IDENTIFICATION DOCUMENTS:

To comply with Australia's Anti-Money Laundering and Counter-Terrorism Financing (AML/CTF) legislation, we must collect certain information from prospective investors and their beneficial owners supported by certified copies of relevant identification documents for all investors and their beneficial owners.

The Responsible Entity is required to comply with the Anti-Money Laundering and Counter Terrorism Financing Act 2006 (Cth) and associated rules and regulations (AML/CTF Laws). This means that the Responsible Entity may require Unitholders to provide personal information and documentation when investing in the Fund. The Responsible Entity may need to obtain additional information and documentation to process applications or subsequent transactions or at other times.

Please ensure that you complete these details to enable your application to be processed.

Important Information

Automic Group uses an electronic verification service provider, BronID, for identity verification and risk assessment services to determine, with reasonable satisfaction, that an individual is who they claim to be. The Responsible Entity must undertake this check to meet its obligations under Anti-Money Laundering and Counter-Terrorism Financing laws in Australia.

You will be directed to input personal information including your name, residential address and date of birth. The BronID platform is then used to assess whether the personal information matches (in whole or part) the personal information held by government data sources, or credit reporting bodies. BronID uses the personal information to prepare an assessment, which it provides to Automic Group.

If the identity verification cannot be completed by using an electronic verification search through BronID, or you do not consent to the electronic verification process, Automic Group will request that your identity be verified by providing certified copies of identity documents.

By proceeding with this application, I/we consent to the making of this request and the disclosure of the personal information to BronID.

Please refer to [Appendix A at the end of the Application Form](#) for details of how to arrange certified copies. Please provide all documents in the proper format otherwise we may not be able to process your application for investment.

Summary of common entities and their requirements.

Select one of the following options to verify a *Superannuation Fund* or a *Regulated Trust*.

- Perform a search of the relevant regulator's website e.g. 'Super Fund Lookup' (unit registry to perform);
- Provide a copy of an offer document of the managed investment scheme e.g. a copy of a Product Disclosure Statement; or
- Provide a copy of the legislation establishing the government superannuation fund sourced from a government website.

Select one of the following options to verify an *Unregulated Trust* or a *Foreign Superannuation Fund*.

- Provide a certified copy or a certified extract of the Trust Deed containing the cover page, recitals and signature page;
- Provide an original letter from a solicitor or qualified accountant that confirms the name of the Trust; or
- Provide a notice issued by the Australian Taxation Office within the last 12 months (e.g. a Notice of Assessment).

Select one of the following options to verify the *Beneficiaries and the Beneficial Owners* identified in Section 8.6.

- Provide a certified copy of a current Australian driver's licence that contains a photograph of the licence/permit holder; or
- Provide a certified copy of a passport* that contains a photograph and signature of the passport holder.
- AND relevant identification documents for the trustee (as applicable).

*Please note that your passport can current or be recently expired (within the last 2 years).

8. TAX DECLARATION (FATCA AND CRS)



IMPORTANT: Failure to complete this section will result in your application being rejected.

The certification is being used to comply with the U.S. Foreign Account Tax Compliance Act (FATCA) and OECD Common Reporting Standards (CRS).

Australia is a participant in the Automatic Exchange of Information (AEOI) regime concerning the automatic exchange of financial account information with foreign jurisdictions. The regime aims to address tax evasion at a global level. The relevant laws are the Foreign Account Tax Compliance Act (FATCA) in the case of exchange by Australia with the United States of America (U.S.) and the Common Reporting Standard (Standard) in the case of exchange by Australia with other countries that have implemented the Standard. The Standard commenced operation in Australia on 1 July 2017.

The Foreign Account Tax Compliance Act (FATCA) relates to US taxpayers and the Common Reporting Standard (CRS) is a broader framework for the exchange of financial account information between jurisdictions relating to all non-Australian taxpayers.

To comply with FATCA and CRS, as a financial institution, we must collect information about your tax status before opening your account. In some cases, this information will need to be reported to the Australian Taxation Office who may then pass this information to tax authorities in other jurisdictions. Please note that if you do not complete this section, we may not be able to process your application.

Further information about this regime is available at the website URL shown below:

<https://www.ato.gov.au/General/International-tax-agreements/In-detail/International-arrangements/Automatic-exchange-of-information---CRS-and-FATCA/>

As a result of Australia's participation in the regime applicants must certify their country of tax residency. Where required the information will be reported to the Australian Taxation Office (ATO) which will report to various global tax authorities.

If you are unable to complete this form, please seek appropriate advice relating to the tax information required.

The account holder is the persons listed or identified as applicant in Section 6 (Account Holder).

The Account Holder's Country of Tax Residence, Taxpayer Identification Number (TIN) or Tax File Number (TFN), Global Intermediary Identification Number (GIIN), FATCA Status, CRS Status and Controlling Persons (includes Beneficial Ownership details) must be provided in this section. If the person opening the account is not a Financial Institution and is acting as an intermediary, agent, custodian, nominee, signatory, investment advisor or legal guardian on behalf of one or more other account holders, this form must be completed by or on behalf of that other person who is referred to as the Account Holder.



PLEASE NOTE: If you are applying:

- As an Individual/Joint Investors/Sole Trader please complete Section 8.1 and 8.7.
- All other types of entities please complete Sections 8.2, 8.3, 8.4, 8.5, 8.6 and 8.7 (where applicable).

8.1 TAX RESIDENCE – INDIVIDUAL/SOLE TRADER

8.1.1 INVESTOR 1: Provide all jurisdictions where the investor is a tax resident

If no TIN is available, please select one of the reasons below against the appropriate country.

- Reason A – The country where the Account Holder is liable to pay tax does not issue TINs to its residents
- Reason B – The Account Holder is otherwise unable to obtain a TIN or equivalent number
- Reason C – No TIN is required. (Note: Only select this reason if the domestic law of the relevant jurisdiction does not require the TIN to be disclosed)

Country of Tax Residence 1 (outside Aus)

TIN 1/TFN 1

Reason if no TIN:

 A B C

Country of Tax Residence 2 (if applicable)

TIN 2/TFN 2 (if applicable)

 A B C

Country of Tax Residence 3 (if applicable)

TIN 3/TFN 3 (if applicable)

 A B C

I certify the tax residence countries provided represent all countries in which I am considered a tax resident.

If the Account Holder has any additional countries of tax residence, please attach a statement to this form containing the Country and TIN for each additional country.

Is the account holder a U.S. Person? A U.S. person includes a U.S. citizen or resident alien of the U.S. even if residing outside the U.S.

Yes - If 'Yes', the Account Holder's U.S. country of residence and U.S. Tax Identification Number must be provided above.

No

(If Joint Investor, please also complete Section 8.1.2)

8.1.2 INVESTOR 2: Provide all jurisdictions where the investor is a tax resident

If no TIN is available, please select one of the reasons below against the appropriate country.

- Reason A – The country where the Account Holder is liable to pay tax does not issue TINs to its residents
- Reason B – The Account Holder is otherwise unable to obtain a TIN or equivalent number
- Reason C – No TIN is required. (Note: Only select this reason if the domestic law of the relevant jurisdiction does not require the TIN to be disclosed)

Country of Tax Residence 1

TIN 1/TFN 1

Reason if no TIN:

A <input type="checkbox"/>	B <input type="checkbox"/>	C <input type="checkbox"/>
----------------------------	----------------------------	----------------------------

Country of Tax Residence 2 (if applicable)

TIN 2/TFN 2 (if applicable)

A <input type="checkbox"/>	B <input type="checkbox"/>	C <input type="checkbox"/>
----------------------------	----------------------------	----------------------------

Country of Tax Residence 3 (if applicable)

TIN 3/TFN 3 (if applicable)

A <input type="checkbox"/>	B <input type="checkbox"/>	C <input type="checkbox"/>
----------------------------	----------------------------	----------------------------

I certify the tax residence countries provided represent all countries in which I am considered a tax resident.

If the Account Holder has any additional countries of tax residence, please attach a statement to this form containing the Country and TIN for each additional country.

Is the account holder a U.S. Person? A U.S. person includes a U.S. citizen or resident alien of the U.S. even if residing outside the U.S.

Yes - If 'Yes', the Account Holder's U.S. country of residence and U.S. Tax Identification Number must be provided above.

No

8.2 ACCOUNT HOLDER'S GIIN – COMPANIES, TRUSTS AND OTHER TYPES OF ENTITIES

Account Holder's GIIN (if any)

Sponsoring Entity's Name (if the Account Holder is sponsored entity, please provide the sponsor's GIIN)

8.3 TAX RESIDENCE – COMPANIES, TRUSTS AND OTHER TYPES OF ENTITIES

Provide all jurisdictions outside of Australia where the Account Holder is a tax resident.

If no TIN is available, please select one of the reasons below against the appropriate country.

- Reason A – The country where the Account Holder is liable to pay tax does not issue TINs to its residents
- Reason B – The Account Holder is otherwise unable to obtain a TIN or equivalent number
- Reason C – No TIN is required. (Note: Only select this reason if the domestic law of the relevant jurisdiction does not require the TIN to be disclosed)

Country of Tax Residence 1

TIN 1/TFN 1

Reason if no TIN:

A <input type="checkbox"/>	B <input type="checkbox"/>	C <input type="checkbox"/>
----------------------------	----------------------------	----------------------------

Country of Tax Residence 2 (if applicable)

TIN 2/TFN 2 (if applicable)

A <input type="checkbox"/>	B <input type="checkbox"/>	C <input type="checkbox"/>
----------------------------	----------------------------	----------------------------

Country of Tax Residence 3 (if applicable)

TIN 3/TFN 3 (if applicable)

A <input type="checkbox"/>	B <input type="checkbox"/>	C <input type="checkbox"/>
----------------------------	----------------------------	----------------------------

You certify the tax residence countries provided represent all countries considered a tax resident.

If the Account Holder has any additional countries of tax residence, please attach a statement to this form containing the Country and TIN for each additional country.

8.4 FATCA STATUS – COMPANIES, TRUSTS AND OTHER TYPES OF ENTITIES

Provide all jurisdictions outside of Australia where the Account Holder is a tax resident.

Is the Account Holder a specified U.S. person? A U.S. person includes a U.S. citizen or resident alien of the U.S. even if residing outside the U.S.

Yes Provide a U.S. Taxpayer Identification Number (TIN): (Proceed to Section 8.5 and 8.7)

No *(Continue the non U.S Person Certification below)*

Non U.S Person Certification

Select only a single category. Select a classification that matches your FATCA status:

- Exempt Beneficial Owner (includes self-managed superannuation fund) (Proceed to Section 8.5 and 8.7)
- Active Non-Financial Entity (Non U.S.) (Proceed to Section 8.5 and 8.7)
- Passive Non-Financial Entity (Non U.S.) (Complete Section 8.5, 8.6 and 8.7)
- Direct Reporting Non-Financial Entity (Non U.S.) (Provide GIIN in Section 8.2 then proceed to Section 8.5 and 8.7)
- Participating Foreign Financial Institution (Provide GIIN in Section 8.2 then proceed to Section 8.5 and 8.7)
- Local/Partner Jurisdiction Foreign Financial Institution (Provide GIIN in Section 8.2 then proceed to Section 8.5 and 8.7)

Deemed-Compliant Foreign Financial Institution. Select deemed-compliant category:

- Passive Non-Financial Entity (Non U.S.) (Complete Section 8.5 and 8.7)
- Direct Reporting Non-Financial Entity (Non U.S.) (Provide GIIN in Section 8.2 then proceed to Section 8.5 and 8.7)
- Participating Foreign Financial Institution (Provide GIIN in Section 8.2 then proceed to Section 8.5 and 8.7)
- Local/Partner Jurisdiction Foreign Financial Institution (Provide GIIN in Section 8.2 then proceed to Section 8.5 and 8.7)

Nonparticipating Foreign Financial Institution (Proceed to Section 8.5 and 8.7)

Sponsored Direct Reporting Non-Financial Entity (Non U.S.) (Provide GIIN and Sponsor's name in Section 8.2, proceed to Section 8.5 and 8.7)

8.5 CRS STATUS – COMPANIES, TRUSTS AND OTHER TYPES OF ENTITIES

Other – describe the FATCA status (Proceed to Section 8.5 and 8.7)

Is the entity an Investment Entity managed by another Financial Institution?

Yes - If any tax residence country provided is not a participating CRS jurisdiction, then complete Section 8.6 and 8.7

No *(Proceed to the NFE section that follows below)*

If the Account Holder is a Non-Financial Entity (NFE), select a classification that matches your CRS status:

- Non-Reporting Financial Institution (Proceed to Section 8.7)
- Other Active Non-Financial Entity (Proceed to Section 8.7)
- Government Entity, International Organisation and Central Bank (Proceed to Section 8.7)
- A corporation, the stock of which is regularly traded on an established securities market
- Passive Non-Financial Entity (Complete Section 8.6 and 8.7)

Name of Securities Market:

Name of Related Entity:

Other – describe the CRS status (Proceed to Section 8.6)

8.6 CONTROLLING PERSONS (INCLUDES BENEFICIARY DETAILS UNDER SECTIONS 8.1 and 8.2)

If there is a change in Controlling Persons/Beneficial Ownership, please submit an updated form within 30 days

CONTROLLING PERSON 1 AND/OR

BENEFICIAL OWNER 1

First Name

Current Residential Address

Suburb

State

Postcode

Country

DOB (DD/MM/YYYY)

/ /

City/Town of Birth

Country of Birth

Provide all jurisdictions where the Account Holder is a tax resident

If no TIN is available, please select one of the reasons below against the appropriate country.

- Reason A – The country where the Account Holder is liable to pay tax does not issue TINs to its residents
- Reason B – The Account Holder is otherwise unable to obtain a TIN or equivalent number
- Reason C – No TIN is required. (Note: Only select this reason if the domestic law of the relevant jurisdiction does not require the TIN to be disclosed)

Country of Tax Residence 1

TIN 1/TFN 1

Reason if no TIN:

 A B C

Country of Tax Residence 2 (if applicable)

TIN 2/TFN 2 (if applicable)

 A B C

Country of Tax Residence 3 (if applicable)

TIN 3/TFN 3 (if applicable)

 A B C

You certify the tax residence countries provided represent all countries considered a tax resident.

If the Account Holder has any additional countries of tax residence, please attach a statement to this form containing the Country and TIN for each additional country.

CONTROLLING PERSON AND/OR

BENEFICIAL OWNER 2

First Name

Family Name/Surname

Current Residential Address

Suburb

State

Postcode

Country

DOB (DD/MM/YYYY)

/ /

City/Town of Birth

Country of Birth

Provide all jurisdictions where the Account Holder is a tax resident

If no TIN is available, please select one of the reasons below against the appropriate country.

- Reason A – The country where the Account Holder is liable to pay tax does not issue TINs to its residents
- Reason B – The Account Holder is otherwise unable to obtain a TIN or equivalent number
- Reason C – No TIN is required. (Note: Only select this reason if the domestic law of the relevant jurisdiction does not require the TIN to be disclosed)

Country of Tax Residence 1

TIN 1/TFN 1

Reason if no TIN:

 A B C

Country of Tax Residence 2 (if applicable)

TIN 2/TFN 2 (if applicable)

 A B C

Country of Tax Residence 3 (if applicable)

TIN 3/TFN 3 (if applicable)

 A B C

You certify the tax residence countries provided represent all countries considered a tax resident.

If the Account Holder has any additional countries of tax residence, please attach a statement to this form containing the Country and TIN for each additional country.

If there are more than 2 Controlling Persons or Beneficial Owners or Countries of Tax Residence, please attach details to this Application Form.

8.7 DECLARATIONS AND SIGNATURE

- You acknowledge and agree that information contained in this form and information regarding the account(s) set out above may be reported to the Australian Taxation Office (ATO) as required under the relevant laws and the ATO may provide the information to the country or countries in which I/We/Account Holder am/are/is resident for tax purposes.
- You undertake to advise the relevant trustee/responsible entity and/or their relevant agent of any change in circumstances which causes the information contained herein to become incorrect and to provide them with a suitably updated certification within 30 days of such change in circumstances.
- You certify that you are the Account Holder (or I you are authorised to sign for the Account Holder) of all the account(s) to which this form relates.
- You declare that all statements made on this form/in this declaration are, to the best of my/our knowledge and belief, true correct and complete.

Signature

Signature

Name of Signer

Name of Signer

Capacity in which Signing (if not signed by account holder)

Capacity in which Signing (if not signed by account holder)

Date

 / /

Date

 / /

9. FINANCIAL ADVISER DETAILS

Use this section to tell us about your registered financial adviser. If you change your financial adviser, it's important to let us know immediately.

9.1 AUTHORISED REPRESENTATIVE, AGENT AND/OR FINANCIAL ADVISER



NOTE: The details below are to be completed by your financial adviser

I am an authorized representative or agent as nominated by the investor(s)

You must attach a valid authority such as Power of Attorney, guardianship order, grant of probate, appointment of bankruptcy etc. that is a certified copy. The document must be current and complete, signed by the investor or a court official and permits the authorised representative or agent to transact on behalf of the investor.

Full name of authorized representative or agent

Role held with investor(s)

Signature

Date

I am a financial adviser as nominated by the investor

Notice to financial adviser: by completing this section of the application form, you are confirming that you are an ASIC registered financial adviser and hold a current Australian Financial Services License (AFSL).

Name of adviser

AFSL number

Dealer group

Name of advisory firm

Postal address

Suburb

State

Postcode

Email address

Financial Advice (only complete if applicable)

The investor has received personal financial product advice in relation to this investment from a license financial adviser and the advice is current

9.2 ACCESS TO INFORMATION

Unless you elect otherwise, your authorised representative, agent and/or financial adviser will also be provided access to your investment information and/or receive copies of statements and transaction confirmations. By appointing an authorised representative, agent and/or financial adviser you acknowledge that you have read and agreed to the terms and conditions in the PDS relating to such appointment.

- Please tick this box if you DO NOT want your authorised representative, agent and/or financial adviser to have access to information about your investment.
- Please tick this box if you DO NOT want copies of statements and transaction confirmations sent to your authorised representative, agent and/or financial adviser.
- Please tick this box if you want statements and transaction confirmations sent ONLY to your authorised representative, agent and/or financial adviser.

GROUP D – Authorised Representatives and Agents

In addition to the above entity groups:

- If you are an Individual Authorised Representative or Agent – please also provide the identification documents listed under Group A.
- If you are a Corporate Authorised Representative or Agent – please also provide the identification documents listed under Group B.

All Authorised Representatives and Agents must also provide a certified copy of their authority to act for the investor

e.g. the POA, guardianship order, Executor or Administrator of a deceased estate, authority granted to a bankruptcy trustee, authority granted to the State or Public Trustee etc.

Financial Adviser Declaration

Signature

Date

10. DECLARATIONS, ACKNOWLEDGMENTS AND SIGNATURES

10.1 DECLARATIONS AND ACKNOWLEDGEMENTS: When you apply to invest, you (the applicant(s)) confirm:

- I/we have read and understood the PDS to which this Application Form applies, including any supplemental information and the Target Market Determination;
- I/we have received and accepted the offer to invest in Australia;
- The information provided in my/our Application Form is true, correct and complete in all respects;
- I/we agree to be bound by the provisions of the Constitution governing the Fund and the terms and conditions of the PDS, each as amended from time to time;
- I/we acknowledge that none of the Issuer, their related entities, directors or officers have guaranteed or made any representation as to the performance or success of the Fund, or the repayment of capital from the Fund. Investments in the Fund are subject to various risks, including delays in repayment and loss of income or principal invested. Investments in the Fund are not deposits with or other liabilities of the Issuer or any of its related bodies corporate or associates;
- I/we acknowledge the Issuer reserves the right to reject any application or scale back an application in its absolute discretion;
- If applicable, after assessing my/our circumstances, I/we have obtained my/our own independent financial advice prior to investing in the Fund;
- If this Application Form is signed under Power of Attorney, each Attorney declares he/she has not received notice of revocation of that power (a certified copy of the Power of Attorney should be submitted with this Application Form);
- I am/we are over 18 years of age and I am/we are eligible to hold units/investment in the Fund;
- I/we have all requisite power and authority to execute and perform the obligations under the PDS and this Application Form;
- I/we acknowledge that all application monies paid to the Fund's registrar in connection with my/our application for Fund Interests will be held in a trust account until invested in the Fund or returned to me/us. I/we further acknowledge that any interest or other earnings generated on such application monies will be retained by the Fund's registrar and will not be paid to applicants, regardless of whether those monies are ultimately returned.
- I/we have read the information on privacy and personal information contained in the PDS and consent to my/our personal information being used and disclosed as set out in the PDS;
- I/we acknowledge that the Issuer may deliver and make reports, statements and other communications available in electronic form, such as e-mail or by posting on a website;
- I/we indemnify the Issuer and each of its related bodies corporate, directors and other officers, shareholders, servants, employees, agents and permitted delegates (together, the Indemnified Parties) and to hold each of them harmless from and against any loss, damage, liability, cost or expense, including reasonable legal fees (collectively, a Loss) due to or arising out of a breach of representation, warranty, covenant or agreement by me/us contained in any document provided by me/us to the Issuer, its agents or other parties in connection with my/our investment in the Fund. The indemnification obligations provided herein survive the execution and delivery of this Application Form, any investigation at any time made by the Issuer and the issue and/or sale of the investment;
- To the extent permitted by law, I/we release each of the Indemnified Parties from all claims, actions, suits or demands whatsoever and howsoever arising that I/we may have against any Indemnified Party in connection with the PDS or my/our investment;
- Other than as disclosed in this Application Form, no person or entity controlling, owning or otherwise holding an interest in me/ us is a United States citizen or resident of the United States or any other country for taxation purposes;
- I/we will promptly notify the Issuer of any change to the information I/we have previously provided to the Issuer, including any changes which result in a person or entity controlling, owning or otherwise holding an interest in me/us;

- I/we consent to the Issuer disclosing any information it has in compliance with its obligations under the US Foreign Tax Compliance Act (FATCA) and the OECD Common Reporting Standards for Automatic Exchange of Financial Account Information (CRS) and any related Australian law and guidance implementing the same. This may include disclosing information to the Australian Taxation Office, who may in turn report that information to the relevant tax authorities as required;
- I/we acknowledge that the collection of my/our personal information may be required by the Financial Transaction Reports Act 1988, the Corporations Act 2001, the Income Tax Assessment Act 1936, the Income Tax Assessment Act 1997, the Taxation Administration Act 1953, the FATCA and CRS (includes any related Australian law and guidance) and the Anti-Money Laundering and Counter-Terrorism Financing Act 2006. Otherwise, the collection of information is not required by law, but I/we acknowledge that if I/we do not provide personal information, the Issuer may not allow me/us to invest in the Fund;
- I am/we are not aware and have no reason to suspect that the monies used to fund my/our investment in the Fund have been or will be derived from or related to any money laundering, terrorism financing or similar or other activities illegal under applicable laws or regulations or otherwise prohibited under any international convention or agreement (AML/CTF Law);
- I/we will provide the Issuer with all additional information and assistance that the Issuer may request in order for the Issuer to comply with the AML/CTF Law, FATCA and CRS;
- I/we acknowledge that the Issuer may decide to delay or refuse any request or transaction, including by suspending the issue or redemption of investment in the Fund, if the Issuer is concerned that the request or transaction may breach any obligation of, or cause the Issuer to commit or participate in an offence (including under the AML/CTF Law, FATCA and CRS).

10.2 SIGNING: Applicants must sign in accordance with the instructions below in the boxes provided

Individual	Where the investment is in one name, the sole investor must sign.
Joint Holding	Where the investment is in more than one name, all investors must sign. If more than two signatures are required, please attach an additional page with the full names of each account holder, their signatures, and date.
Companies	Where the company has a sole director who is also the sole company secretary, this form must be signed by that person. If the company (pursuant to section 204A of the Corporations Act 2001) does not have a company secretary, a sole director can also sign alone. Otherwise this form must be signed by a director jointly with either another director or a company secretary. Please indicate the capacity in which the form is signed.
Trust	The trustee(s) must sign this form. Trustee(s) signing on behalf of the trust confirm that the trustee(s) is/are acting in accordance with such designated powers and authority under the trust deed.
Power of Attorney	If signing under a Power of Attorney and you have not already lodged the Power of Attorney document, please attach a certified copy of the Power of Attorney annotated with the following: I/We attest that the Power of Attorney has not been rescinded or revoked and that the person who gave the Power of Attorney is still living.

Signature of investor 1, Director or Authorised Signatory

Please print full name

Date

/
/

Signature of investor 2, Director/Company Secretary or Authorised Signatory

Please print full name

Date

/
/

Company officer (please indicate company capacity):

Director

Sole Director and Company Secretary

Authorised Signatory

Company officer (please indicate company capacity):

Director

Company Secretary

Authorised Signatory

APPENDIX A

All copies of documents forwarded must be certified as a correct copy by a person who in the State or Territory of certification has the power to witness a Statutory Declaration.

People who can certify documents or extracts are:

- A lawyer, being a person who is enrolled on the roll of the Supreme Court of a State or Territory, or the High Court of Australia, as a legal practitioner (however described)
- Financial adviser or financial planner
- A judge of a court
- A magistrate
- A chief executive officer of a Commonwealth court
- A registrar or deputy registrar of a court
- A Justice of the Peace
- A notary public (for the purposes of the Statutory Declaration Regulations 1993)
- A police officer
- An agent of the Australian Postal Corporation who is in charge of an office supplying postal services to the public
- A permanent employee of the Australian Postal Corporation with 2 or more years of continuous service who is employed in an office supplying postal services to the public
- An Australian consular officer or an Australian diplomatic officer (within the meaning of the Consular Fees Act 1955)
- An officer with 2 or more continuous years of service with one or more financial institutions (for the purposes of the Statutory Declaration Regulations 1993)
- A finance company officer with 2 or more continuous years of service with one or more financial companies (for the purposes of the Statutory Declaration Regulations 1993)
- An officer with, or authorised representative of, a holder of an Australian financial services licence, having 2 or more continuous years of service with one or more Licencees
- A member of the Institute of Chartered Accountants in Australia, CPA Australia or the National Institute of Accountants with 2 or more years of continuous membership